**债券通现券买卖备用交易申请单**

**Bond Connect Application Form for Cash Bond Backup Trading**

**申请单位名称： 申请日期 Application Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Full Name of Applicant:** dd日 mm月 yyyy年

|  |  |  |  |
| --- | --- | --- | --- |
| **买入方**  **Full Name of Buyer** | *Full name of the buyer as recorded in CFETS system, e.g. ABC Asset Management-Investment Fund-bc* | | |
| **卖出方**  **Full Name of Seller** | *Full name of the seller as recorded in CFETS system, e.g. XYZ Bank Ltd.* | | |
| **债券名称**  **Bond Name** | *Short name of the bond, e.g. 19附息国债07* | **债券代码**  **Bond Code** | *Bond Code in CFETS system, e.g. 190007* |
| **净价**  **Clean Price** | **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_元/百元面值yuan per 100 yuan of par value**  小数点后保留四位 4 digits after the decimal point | | |
| **券面总额**  **Total Amount** | **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_百万元 million yuan** | | |
| **清算周期**  **Settlement Cycle** | **T + \_\_\_\_\_\_** | **清算类型**  **Settlement Method (仅上清所债券勾选For SHCH only)** | **□全额清算Full amount**  **□净额清算Clean amount** |
| **清算周期为T+4或以上的情况说明**  **Circumstances for Settlement Cycle of T+4 or above** |  | | |
| **CIPS资金账户**  **CIPS Cash Account** | **资金账户户名 Cash Account Name** | *Investors please fill in “HONG KONG MONETARY AUTHORITY CMU”; Dealers please fill in your own info* | |
| **资金帐号Cash Account Number** | *Investors please leave the field as “N/A”; Dealers please fill in as recorded in the CIPS system, e.g. 0123456789* | |
| **资金开户行Clearing Bank** | *Investors please fill in “Bank of China (Hong Kong) Ltd (Renminbi Clearing Centre)”; Dealers please fill in your own info* | |
| **支付系统行号 Payment System Reference Number** | *Investors please fill in“BKCHHKHH838”; Dealers please fill in as recorded in the CIPS system* | |
| **托管账号**  **Custody Account** | **托管账号 Custody Account Number** | *Investors please leave the field as “N/A”; Dealers please fill in your own info* | |
| **托管机构CSD** | *Please specify CCDC or SHCH* | |
| **托管账户户名Custodian Account Name** | *Investors please fill in “香港金融管理局CMU”; Dealers please fill in your own info* | |
| **本方交易员姓名**  **Trader Name** | *Full name of the trader as in CFETS system* | **电话 Phone** | *Trader’s phone, e.g. +852-22222222* |
| **邮箱**  **Email** | *Trader’s company email, e.g. abc@abc.com* | **传真**  **FAX** | *Trader’s fax, e.g. +852-33333333* |
| **备注**  **Notes** | *Any other information for the attention of CFETS and BCCL* | | |
| 兹声明: -  申请人明白交易中心及债券通公司保留相关本申请的最终决定权, 包括但不限于是否接受相关清算周期为T+4或以上的情况说明。申请人在此声明及确认本申请单内填写的一切信息为真实、准确和完整, 并承担所有相关本申请的风险和后果。  **Declaration: -**  The Applicant acknowledges that CFETS and BCCL reserve the right of final decision in relation to this application, including but not limited to whether to accept the circumstances set out for a settlement cycle of T+4 or above. The Applicant hereby declares and confirms that all information filled out in this application is true, accurate and complete, and it shall be liable to the risks and consequences in relation to this application.  **获授权签字人签字及/或公司公章** （如未加盖公章，需同时提供获授权签字人的授权书及签字样本）  **Authorized Signature and/or Company Chop** (If there is no Company Chop, please provide the official authorization letter for the Authorized Signatory with the sample signature)  **签署人姓名\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Name of Signatory\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** | | | |