全国银行间同业拆借中心 **CFETS** 结算失败报备表

**Settlement Failure Report**

报备日期 **Date of Report**：日 **dd** 月 **mm** 年 **yyyy**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 交易机构 **1** （债券通境外投资者）**BC Foreign Investor**  |  | 交易方向**Trading** **Direction**  | ⧠买**Buy** ⧠卖**Sell**  | 交易员**Trader**  |  |
| 联系电话**Tele-number**  |  |
| 邮箱**Email Address**  |  |
| 交易机构 **2** （债券通在岸做市商）**BC Onshore Dealer**  |  | 交易方向**Trading** **Direction**  | ⧠买**Buy** ⧠卖**Sell**  | 交易员**Trader**  |  |
| 联系电话**Tele-number**  |  |
| 邮箱**Email Address**  |  |
| 成交编号 **Execution ID**  |  |
| 结算失败理由**Cause of** **Settlement Failure**  | 交易机构 **1** （债券通境外投资者）**BC Foreign Investor**  | □债券不足 **Deficiency of Bonds** □资金不足 **Insufficiency of Funds** □券种错误 **Mismatch of Bonds** □交易量错误 **Mismatch of Trading Volume** □账户设置错误 **Account Setting Error** □结算方式错误 **Mismatch of Settlement Cycle** □交易方向错误 **Mismatch of Trading Direction** □内部风控未通过 **Failure of Internal Risk Control** □其他 **Others**  |
| 交易机构 **2** （债券通在岸做市商）**BC Onshore Dealer**  | □债券不足 **Deficiency of Bonds** □资金不足 **Insufficiency of Funds** □券种错误 **Mismatch of Bonds** □交易量错误 **Mismatch of Trading Volume** □账户设置错误 **Account Setting Error** □结算方式错误 **Mismatch of Settlement Cycle** □交易方向错误 **Mismatch of Trading Direction** □内部风控未通过 **Failure of Internal Risk Control** □其他 **Others**  |
| 是否循环结算**Settlement Recycling**  | ⧠是 **Yes** ⧠否 **No**  |
| 原定结算日**Original Settlement Date**  |  | 循环结算日**Settlement Recycling Date**  |  |
| 循环结算金额是否变更**Change of Settlement Value in Settlement Recycling**  | ⧠是 **Yes** ⧠否 **No**  | 结算金额（元）**Settlement Vale (Full Price, Yuan)**  |  |
| 备注**Notes**  |  |

兹声明**: -** 交易双方在此声明及确认本报备表内填写的一切信息为真实、准确和完整**,** 并承担所有相关本报备表的风险和后果。

**Declaration: -**

**The trading parties hereby declare and confirm that all information filled out in this reporting form is true, accurate and complete, and they shall be liable to the risks and consequences in relation to this reporting form.**

交易机构 **1**（债券通境外投资者）盖章 交易机构 **2**（债券通在岸做市商）盖章

**BC Foreign Investor Onshore Dealer**

**Signature/Company Stamp Signature/Company Stamp**

此报备表需经交易双方盖章或签字方视有效。表格经签署**/**盖章后，请发送其彩色扫描件至债券通公司邮箱： **service@chinabondconnect.com**。如有垂询，请联系 **852 2327 0732**。

**This form is valid only after being signed or stamped by both trading counterparties. Please send the color scanned copy of signed/stamped report form to Bond Connect Company Limited: service@chinabondconnect.com. If any questions, please contact 852 2327 0732.**