附件4（Annex 4）:

**债券通境外投资者信息变更表**

**Bond Connect Registration Update Form**

**债券账户全称: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Full name of Bond Account: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

|  |  |  |
| --- | --- | --- |
| **内容 Content** | **变更前Original** | **变更后Updated** |
| “债券账户全称-bc”（中英文）Full name of Bond Account-bc” (Chinese & English) |  |  |
| “债券账户简称-bc”（中英文）Short name of Bond Account-bc”(Chinese & English) |  |  |
| 投资者类型Type of Entity |  |  |
| 资产管理人名称Asset Management Name |  |  |
| 产品到期日变更Fund Maturity Date | YYYYMMDD | YYYYMMDD |
| 境外托管路径Overseas Custody Structure | 第一级Tier 1 |  |  |
| 第二级（如有）Tier 2(if applicable) |  |  |
| …… |  |  |
| 第 级Final |  |  |
| 托管人名称（Global）Overseas Custodian Name |  |  |
| CMU会员名称Name of Participating CMU Member |  |  |
| CMU会员代码Member Code of Participating CMU Member |  |  |
| CMU账户号码CMU sub-account number |  |  |
| 资金账户开户行Name of Account-opening Bank |  |  |
| 资金账户号码Cash Account Number |  |  |
| **现有交易平台信息更新Information update for Existing Platform**请根据需要添加更多交易执行机构信息。Add more information if there are multiply trading execution entities |
| 交易平台名称Name of Trading Platform |  |  |
| 机构登录ID Login ID at Entity level  |  |  |
| 变更后的ID持有机构是否为申请人或申请人的投资管理人Whether the owner of the updated Login ID is consistent with applicant entity or applicant’s Asset Manager | * 是(YES) 注明ID持有机构名称

Fill in the entity’s full name* 否(NO) 因（原因）申请人确认（交易执行机构全

称）为实际交易执行机构，并向交易中心申请进行相应系统设置。 Due to (reason), we confirm (Entity’s fullname) is the Trading Execution Entity and requests CFETS to conduct relevant settings. |
| 交易执行机构全称（中英文）Full Name of Trading Execution Entity (Chinese & English) |  |  |
| 交易执行机构简称（中英文）Short Name of Trading Execution Entity (Chinese & English) |  |  |
| 第三方交易执行机构盖章/负责人签字:Official Seal/Signature of External Third-party Trading Execution Entity： |
| **交易平台信息 Access Platform Information***请选择 Please Select*□ 变更交易平台 Access Platform Switch (请填写第一部分 Please fill in Part 1)□ 新增交易平台Add New Access Platform (请填写第二部分 Please fill in Part 2)□ 删减交易平台 Delete Access Platform (请填写第三部分 Please fill in Part 3) |
| **第一部分 Part 1—变更交易平台 Access Platform Switch**  |
| **变更交易平台****Switch Trading Platform****由 From:****\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** **变为To:****\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** ***备注：交易员在现有交易平台上的信息将会在债券通项下被停用。同时，交易员在新的交易平台上的注册信息会被激活。请填写所有相应的信息以便于系统对接。******Note: Traders registered under existing/old access platform for Bond Connect will be deactivated. Traders to be registered under new platform will be activated. Please fill in all fields for successful mapping.*** |
|  | **现有平台 Existing Platform**  | **新平台 New Platform** |
| 交易平台名称Name of Trading Platform |  |  |
| 机构登录IDLogin ID at Entity level |  |  |
| ID持有机构名称Entity owner of Login ID |  |  |
| **交易员信息 Trader Information**本部分允许投资者添加、删除或迁移交易员。请根据需要添加更多交易员信息。This section allows investors to add, delete or migrate traders. Please add additional sections if extra traders’ information is required. |
| **交易员—迁移（在新平台上新增，在现有平台上删除）****Trader— Migration (To be activated on New Platform & deactivated from Existing Platform)** |
| 交易员1 姓名Full Name of Trader 1 |  | 国籍Nationality |  |
| 办公电话Office Telephone |  | 电子邮箱Email |  |
| 交易平台用户IDTrader’s Login ID of Trading Platform(s) | 变更前 Before Amendment\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*现有平台Existing Platform*) ID: 变更后 After Amendment\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*新平台New Platform*) ID: |
| **交易员— 新增（现有平台上未设置该用户，现在新平台上新增）****Trader— Addition (No prior registrations. To be activated on New Platform)** |
| 交易员1 姓名Full Name of Trader 1 |  | 国籍Nationality |  |
| 办公电话Office Telephone |  | 电子邮箱Email |  |
| 交易平台用户IDTrader’s Login ID of Trading Platform(s) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*新平台New Platform*) ID: |
| **交易员— 删除（现有平台上解激活该用户，新平台上不新增）****Trader— Deletion (Deactivate on Existing Platform & will not be activated on New Platform)** |
| 交易员1 姓名Full Name of Trader 1 |  | 国籍Nationality |  |
| 办公电话Office Telephone |  | 电子邮箱Email |  |
| 交易平台用户IDTrader’s Login ID of Trading Platform(s) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*现有平台解激活Deactivate on Existing Platform*) ID:  |
| **第二部分 Part 2— 新增交易平台Add New Access Platform**  |
| **新增交易平台** **Add Trading Platform(s)：****\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*****备注：适用于投资者新增交易平台信息。请填写所有相应的信息以便于系统对接。******Note: Applicable for investors registering for an additional access platform. Please fill in all fields for successful mapping.*** |
|  | **现有平台 Existing Platform**  | **新增平台 New Platform** |
| 交易平台名称Name of Trading Platform |  |  |
| 机构登录IDLogin ID at Entity level |  |  |
| ID持有机构名称Entity owner of Login ID |  |  |
| **交易员信息 Trader Information**本部分允许投资者添加新平台上的交易员信息。请根据需要添加更多交易员信息。This section allows investors to activate all traders registered at new access platform for Bond Connect. Please add additional sections if extra traders’ information is required. |
| **交易员—新增（在新平台上新增, 现有平台上的账户仍处于激活状态）****Trader— Addition (To be activated on New Platform. Account remains activated for Existing Platform.)** |
| 交易员1 姓名Full Name of Trader 1 |  | 国籍Nationality |  |
| 办公电话Office Telephone |  | 电子邮箱Email |  |
| 交易平台用户IDTrader’s Login ID of Trading Platform(s) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*现有平台Existing Platform*) ID: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (*新增平台New Platform*) ID: |
| **第三部分 Part 3— 删减交易平台 Delete Access Platform**  |
| **删减交易平台** **Delete Trading Platform(s)：****\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*****备注：适用于投资者停止使用该平台。但非退市。******Note: Applicable for investors terminating the use of a specific Access Platform only. This is not for exiting Bond Connect.*** |
|  | **现有平台 Existing Platform**  |
| 交易平台名称Name of Trading Platform |  |
| 机构登录IDLogin ID at Entity level |  |
| ID持有机构名称Entity owner of Login ID |  |
| **交易员信息 Trader Information**本部分允许投资者列出所有停止使用的交易员信息。请根据需要添加更多交易员信息。 This section allows investors to list all traders in the access platform to be deactivated. Please add additional sections if extra traders’ information is required. |
| **交易员— 删除（现有平台上解激活该用户）****Trader— Deletion (Deactivate on Existing Platform)** |
| 交易员1 姓名Full Name of Trader 1 |  | 国籍Nationality |  |
| 办公电话Office Telephone |  | 电子邮箱Email |  |
| 交易平台用户IDTrader’s Login ID of Trading Platform(s) |  |
| …若有更多交易员请继续添加相关信息 Please insert additional sections for more Traders’ information updates… |
| 备注事项 Others |  |
| 拟变更日期（选填）Date for update (optional) |  |
| **联系方式 （必填）****Contact Information****(Compulsory)** | 申请人Applicant/电话Phone/地址Address/电邮Email |

提交信息变更表时，请提供**PDF和Word**两个版本至债券通公司

Please submit the Registration Update Form in both **PDF and Word** versions to BCCL

<info@chinabondconnect.com>

**（申请单位盖章 Seal）**

**年Y月M日D**